

LifeSci Biotechnology Clinical Trials Index

2/13/2023

Effective at the market close on Monday, February 13, 2023, <u>SRNE</u> will be removed from the <u>LifeSci Biotechnology Clinical Trials Index</u> and its value will be redistributed to the remaining index components based on their weights.

• On February 13, 2023, Sorrento Therapeutics indicated it had filed for Chapter 11 bankruptcy. As a result, SRNE no longer qualifies for inclusion in the Index.